

|   |                                     |   |                                     |   |  |
|---|-------------------------------------|---|-------------------------------------|---|--|
| FORM PTO-1390<br>(REV 5-93)   |                                     | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE   |                                     | ATTORNEY'S DOCKET NUMBER<br>951/50010   |  |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371      |                                     |   |                                     | U.S. APPLICATION NO. (if known, see 37 CFR 1.53)<br><b>09/936905</b>                        |  |
| INTERNATIONAL APPLICATION NO.<br>PCT/EP00/01766   |                                     | INTERNATIONAL FILING DATE<br>1 March 2000   |                                     | PRIORITY DATE CLAIMED<br>19 March 1999  |  |
| TITLE OF INVENTION<br>DEVICE AND METHOD FOR INCREASING THE SECURITY OF A VEHICLE  |                                     |   |                                     |   |  |
| APPLICANT(S) FOR DO/EO/US<br>Rudolf EHRMAIER and Josef NEUNER   |                                     |   |                                     |   |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |                                     |   |                                     |   |  |
| 1.  | <input checked="" type="checkbox"/> | This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.   |                                     |   |  |
| 2.  |                                     | This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371  |                                     |   |  |
| 3.  | <input checked="" type="checkbox"/> | This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay<br>Examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). |                                     |   |  |
| 4.  | <input checked="" type="checkbox"/> | A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.   |                                     |   |  |
| 5.  | <input checked="" type="checkbox"/> | A copy of the International Application as filed (35 U.S.C. 371(c)(2)).   |                                     |   |  |
|   |                                     | a.  |                                     | is transmitted herewith (required only if not transmitted by the International Bureau).     |  |
|   |                                     | b.  | <input checked="" type="checkbox"/> | has been transmitted by the International Bureau  |  |
|   |                                     | c.  |                                     | is not required, as the application was filed in the United States Receiving Office (RO/US) |  |
| 6.  | <input checked="" type="checkbox"/> | A translation of the International Application into English (35 U.S.C. 371(c)(2)).  |                                     |   |  |
| 7.  | <input checked="" type="checkbox"/> | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  |                                     |   |  |
|   |                                     | a.  |                                     | are transmitted herewith (required only if not transmitted by the International Bureau).    |  |
|   |                                     | b.  |                                     | have been transmitted by the International Bureau.  |  |
|   |                                     | c.  |                                     | have not been made; however, the time limit for making such amendments has NOT expired.     |  |
|   |                                     | d.  | <input checked="" type="checkbox"/> | have not been made and will not be made.  |  |
| 8.  |                                     | A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |                                     |   |  |
| 9.  | <input checked="" type="checkbox"/> | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (unexecuted)  |                                     |   |  |
| 10.   | <input checked="" type="checkbox"/> | A translation of the annexes to the International Preliminary Examination Report under PCT Article 36<br>(35 U.S.C. 371(c)(5)).   |                                     |   |  |
| <b>Item 11. to 16. below concern other document(s) or information included:</b>   |                                     |   |                                     |   |  |
| 11.   | <input checked="" type="checkbox"/> | An Information Disclosure Statement under 37 CFR 1.97 and 1.98.   |                                     |   |  |
| 12.   |                                     | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.   |                                     |   |  |
| 13.   | <input checked="" type="checkbox"/> | A FIRST preliminary amendment.  |                                     |   |  |
|   |                                     | A SECOND or SUBSEQUENT preliminary amendment.   |                                     |   |  |
| 14.   | <input checked="" type="checkbox"/> | A substitute specification and marked-up copy thereof.  |                                     |   |  |
| 15.   |                                     | A change of power of attorney and/or address letter.  |                                     |   |  |
| 16.   | <input checked="" type="checkbox"/> | Other items or information:   |                                     |   |  |
|   | a.                                  | Form PCT/IB/308;  |                                     |   |  |
|   | b.                                  | 1 sheet of drawings showing Figs. 1-2;  |                                     |   |  |
|   | c.                                  | International Preliminary Examination Report w/Annexes; and   |                                     |   |  |
|   | d.                                  | International Search Report.  |                                     |   |  |



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PATENT TRADEMARK OFFICE

|  |              |                               |            |                          |              |
|--|--------------|-------------------------------|------------|--------------------------|--------------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5)  |              | INTERNATIONAL APPLICATION NO. |            | ATTORNEY'S DOCKET NUMBER |              |
| <b>09/936905</b>   |              | PCT/EP00/01766                |            | 951/50010                |              |
| 17. <input checked="" type="checkbox"/> The following fees are submitted:  |              |                               |            | CALCULATIONS             | PTO USE ONLY |
| Basic National Fee (37 CFR 1.492(a)(1)-(5)):   |              |                               |            |                          |              |
| Search Report has been prepared by the EPO or JPO  |              |                               |            | \$ 860.00                |              |
| International preliminary examination fee paid to USPTO (37 CFR 1.482)   |              |                               |            | \$ 690.00                |              |
| No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))  |              |                               |            | \$ 710.00                |              |
| Neither international preliminary examination fee (37 CFR 1.482) nor International search fee (37 CFR 1.445(a)(2)) paid to USPTO   |              |                               |            | \$ 1000.00               |              |
| International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)  |              |                               |            | \$ 100.00                |              |
| <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>  |              |                               |            | \$ 860.00                |              |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).                                     |              |                               |            | \$130.00                 |              |
| Claims   | Number Filed | Number Extra                  | Rate       |                          |              |
| Total Claims   | 21 - 20 =    | 1                             | X \$18.00  | \$18.00                  |              |
| Independent Claims   | 2 - 3 =      | 0                             | X \$80.00  | \$                       |              |
| Multiple dependent claims(s) (if applicable)   |              |                               | + \$270.00 | \$                       |              |
| <b>TOTAL OF ABOVE CALCULATIONS=</b>  |              |                               |            | \$1008.00                |              |
| Applicant claims Small Entity Status (See 37 CFR §1.27) <input type="checkbox"/> yes <input type="checkbox"/> no. Reduction by 1/2 for filing by small entity, if applicable.  |              |                               |            | \$                       |              |
| <b>SUBTOTAL =</b>  |              |                               |            | \$1008.00                |              |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).   |              |                               |            | \$                       |              |
| <b>TOTAL NATIONAL FEE =</b>  |              |                               |            | \$1008.00                |              |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28,3.31). \$40.00 per property +  |              |                               |            | \$                       |              |
| <b>TOTAL FEE ENCLOSED =</b>  |              |                               |            | \$1008.00                |              |
|  |              |                               |            | Amount to be: refunded   | \$           |
|  |              |                               |            | Charged                  | \$           |
| a. <input checked="" type="checkbox"/> A check in the amount of \$1008.00 for the filing fee is enclosed   |              |                               |            |                          |              |
| b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.  |              |                               |            |                          |              |
| c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. <u>05-1323</u> . A duplicate copy of this sheet is enclosed. |              |                               |            |                          |              |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  |              |                               |            |                          |              |
| SEND ALL CORRESPONDENCE TO:  |              |                               |            |                          |              |
| Crowell & Moring, L.L.P.   |              |                               |            | SIGNATURE                |              |
| P.O. Box 14300   |              |                               |            | Jeffrey D. Sanok         |              |
| Washington, D.C. 20044-4300  |              |                               |            | NAME                     |              |
| Tel. No. (202) 624-2500  |              |                               |            | 32,169                   |              |
| Fax No. (202) 628-8844   |              |                               |            | REGISTRATION NUMBER      |              |
|  |              |                               |            | September 19, 2001       |              |
|  |              |                               |            | DATE                     |              |

JDS:pct

Attorney Docket: 951/50010  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: RUDOLF EHRMAIER ET AL.

Serial No.: NOT YET ASSIGNED PCT NO.: PCT/EP00/01766

Filed: SEPTEMBER 19, 2001

Title: DEVICE AND METHOD FOR INCREASING THE SECURITY OF A  
VEHICLE

SUBMISSION OF SUBSTITUTE SPECIFICATION

**Box PCT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Attached is a Substitute Specification and a marked-up  
copy of the original specification. I certify that said  
substitute specification contains no new matter and includes  
the changes indicated in the marked-up copy of the original  
specification.

Respectfully submitted,

September 19, 2001

  
Jeffrey D. Sanok

Registration No. 32,169

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JDS:pct

*Please Enter Sub-Specification*  
*4/10/04*